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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/435,540
Filing Date	November 8, 1999
First Named Inventor	Donovan et al.
Art Unit	2153
Examiner Name	K. Parton

Total Number of Pages in This Submission	4+refs	Attorney Docket Number	RIC99057

ENCLOSURES *(Check all that apply)*

☐ Fee Transmittal Form	☐ Drawing(s)	☐ After Allowance communication to Group
☐ Fee Attached	☐ Licensing-related Papers	☐ Appeal Communication to Board of Appeals and Interferences
☐ Amendment/Reply	☐ Petition	☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
☐ After Final	☐ Petition to Convert to a Provisional Application	
☐ Affidavits/declaration(s)	☐ Power of Attorney, Revocation Change of Correspondence Address	☐ Proprietary Information
☐ Extension of Time Request	☐ Terminal Disclaimer	☐ Status Letter
☐ Express Abandonment Request	☐ Request for Refund	☒ Other Enclosure(s) (please Identify below):
☒ Information Disclosure Statement	☐ CD, Number of CD(s) _____	PTO-1449 Form; 6 References; and Return Postcard.
☐ Certified Copy of Priority Document(s)	Remarks	
☐ Response to Missing Parts/ Incomplete Application		
☐ Response to Missing Parts under 37 CFR 1.52 or 1.53		

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Eden U.I. Stright, Reg. No. 51,205 WorldCom, Inc.,
Signature	
Date	April 12, 2004

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Chanay Robinson		
Signature	Chanay Robinson	Date	4/14/04

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Steven R. Donovan	Confirmation No.: 5356
Serial No.: 09/435,540	Art Unit: 2153
Filed: November 8, 1999	Examiner: K. Parton

Title: Method of Combining Internet Protocols for Session Setup, Teardown, Authentication, Authorization and Accounting Using the Differentiated Services Model

INFORMATION DISCLOSURE STATEMENT RECEIVED

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PO Box 1450
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Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly and independently considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

The Brazilai, Handly, and Sinnreich articles listed herein are submitted under 37 C.F.R. § 1.97(e)(1), and are before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, therefore no fee is due. A copy of the International Search Report is enclosed for the Examiner's convenience.

Each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

The Stojsic, Salsano, and Flykt articles cited herein are submitted under 37 C.F.R. § 1.97(e)(2), and are before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, therefore no fee is due.

No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

Each of the documents listed on the attached form equivalent to Form PTO-1449 is in the English language.

It is respectfully requested that the documents cited in this Information Disclosure Statement be independently considered by the Examiner.

Please charge any shortage in the fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account No. 13-2491, and please credit any excess fees to such deposit account.

Respectfully submitted,

Date: April 12, 2004

Eden U. J. Stright
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FORM PTO-1449 (REV. 7-80)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. RIC99057	SERIAL NO. 09/435,540

LIST OF DOCUMENTS CITED BY APPLICANT
(Use several sheets if necessary)

APPLICANT(S): Steven R. Donovan	
FILING DATE November 8, 1999	GROUP 2152

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
					RECEIVED		
					APR 1 9 2004		
					Technology Center 2100		

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

		Brazilai et al., "Design and Implementation of an RSVP-Based Quality of Service Architecture for Integrated Services Internet", Distributed Computing Systems, 1997, Proceedings of the 17th International Conference on Baltimore, MD, US, May 27-30, 1997, Los Alamitos, CA, US, IEE Computer Soc., US, May 27, 1997, pages 543-551
		Handley et al., "SIP: Session Initiation Protocol", IETF RFC 2543, March 31, 1999, pgs. 7-17, http://www.lett.org/rtc/rtc2543.txt
		Sinnreich et al., "AAA Usage for IP Telephony with QoS", Internet Draft, January 2001, pages 1-20
		Stojsic et al., "Formal Definition of SIP Proxy Behavior", 2001 IEEE, pages 289-292
		Salsano et al., "QoS Control by Means of COPS to Support SIP-Based Applications", March/April 2002, pgs. 27-33
		Flykt et al., "SIP Services and Interworking with Ipv6", March 2001, pgs. 186-190

EXAMINER	DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.